



Express Mail No. EM 015927825 US

PATENTS
ELM/002 Cont. 4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Glenn J. Leedy
Application No.: 10/672,961 Confirmation No.: 9439
Filed : September 26, 2003
For : THREE DIMENSIONAL MULTI LAYER MEMORY AND
CONTROL LOGIC INTEGRATED CIRCUIT STRUCTURE
Group Art Unit : 2822
Examiner : Monica Lewis

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicant wishes to call the attention of the Examiner to the
following documents:

U.S. PATENT DOCUMENTS

3,430,835	Patzer et al.	03/04/1969
4,196,232	Schnable et al.	04/01/1980
5,615,163	Sakui et al.	03/25/1997
5,786,629	Faris	07/28/1998
5,787,445	Daberko	07/28/1998
6,563,224	Leedy	05/13/2003

11/05/2007 MBLANCO 00000002 061075 10672961
03 FC:1806 180.00 DA

<u>No.</u>	<u>FOREIGN DOCUMENTS</u>		
1	JP 01-157561 A	Japan	06/20/1989
2	JP 02-037655	Japan	02/07/1990
3	JP 03-127816	Japan	05/30/1991
4	JP 03-174715	Japan	07/29/1991
5	JP 05-283607 A	Japan	10/29/1993
6	JP 08-504060 A	Japan	04/30/1996
7	JP 62-272556 A	Japan	11/26/1987
8	JP 61-30059 A	Japan	02/12/1986
9	JP 04-042957	Japan	02/13/1992
10	JP 05-109977 A	Japan	04/30/1993
11	JP 09-152979 A	Japan	06/10/1997
12	JP 10-107065	Japan	04/24/1998
13	JP 10-209371 A	Japan	08/08/1998

<u>No.</u>	<u>NON-PATENT DOCUMENTS</u>
1	S. Wolf, Silicon Processing, 1990, Lattice Press, Volume 2, Page 111.
2	Wolf, Stanley and Richard N. Tauber; Silicon Processing For the VLSI Era, Volume 1: Process Technology; Sunset Beach, CA: Lattice Press, 1986, pp. 191-194.
3	S. Wolf, Silicon Processing For the VLSI Era, 1990, Lattice Press, Volume 2, page 191.

The aforementioned U.S. Patent Documents, which are listed on the accompanying Form PTO/SB/08 (submitted in duplicate), fall under 37 C.F.R. § 1.98(a)(2)(ii) for which the requirement to provide copies is waived. Accordingly, no copies of the aforementioned U.S. Patent Documents are provided. Copies of the aforementioned foreign documents and non-patent documents are being provided herewith.

U.S. Patent No. 3,430,835 was cited in an Office Action dated October 9, 2007 in co-pending commonly assigned U.S. Patent Application No. 10/971,341. U.S. Patent No. 4,196,232 was cited in an Office Action dated April 10, 2007 in co-pending commonly assigned U.S. Patent Application No. 10/741,647. U.S. Patent No. 6,563,224 was cited in an Office Action dated October 16, 2003 in co-pending commonly assigned U.S. Patent Application No. 10/379,820.

Above-identified foreign documents 1 and 5-13 were cited in an Office Action dated February 1, 2007 in Japanese Patent Application No. 10-543031, which is the Japanese counterpart of U.S. Patent Application No. 08/835,190, now U.S. Patent No. 5,915,167. Foreign document 2 was cited in an Office Action dated May 17, 2005 in Japanese Patent Application No. 2003-411658, now Japanese Patent No. 3816483, which is the Japanese counterpart of U.S. Patent Application No. 09/027,959, now abandoned. Foreign documents 3 and 4 were cited in an Office Action dated October 8, 2004 in Japanese Patent Application No. 2003-411658, now Japanese Patent No. 3816483, which is the Japanese counterpart of U.S. Patent Application No. 09/027,959, now abandoned.

Above-identified non-patent document 1 was cited in an Office Action dated June 1, 2007 in co-pending commonly assigned U.S. Patent Application 10/379,820. Non-patent document 2 was cited in an Office Action dated January 26, 2006 in co-pending commonly assigned U.S. Patent Application No. 10/741,647. Non-patent document 3 was cited in an Office

Action dated April 20, 2006 in co-pending commonly assigned U.S. Patent Application No. 10/379,820.

It is respectfully requested that these references be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

The Examiner is advised that the following applications, listed in the table below, contain subject matter that may be related to the pending claims in the present application. In particular, applicant would like to draw the Examiner's attention to the fact that substantive examination may have occurred in these applications, and applicant invites the Examiner to review any Office Actions that have issued or will issue in these cases. At the Examiner's request, we will provide copies of any Office Actions and/or responses to Office Actions that have issued in these applications. By bringing these applications to the Examiner's attention, applicant does not waive the confidentiality provisions of 35 U.S.C. 122.

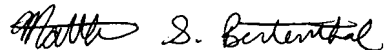
<u>Application No.</u>	<u>Filing Date</u>
10/143,200	05/13/02
10/222,816	08/19/02
10/379,820	03/03/03
10/460,483	06/11/03
10/665,757	09/19/03
10/672,961	09/26/03
10/700,429	11/03/03
10/741,602	12/18/03

10/741,647
10/742,282
10/971,341
11/042,581

12/18/03
12/19/03
10/22/04
01/24/05

The Information Disclosure Statement filed herewith is submitted after the mailing date of a Final Office Action, a Notice of Allowance, or an Office Action that otherwise closes prosecution. Accordingly, a \$180.00 fee is due pursuant to 37 C.F.R. § 1.17(p). The director is hereby authorized to charge the \$180.00 fee, and any additional fees that are due, to Deposit Account No. 06-1075.

Respectfully submitted,



Matthew S. Bertenthal
Reg. No. 61,129
Agent for Applicant
ROPES & GRAY LLP
Customer No. 1473
1211 Avenue of the Americas
New York, New York 10036-8704
Tel.: (212) 596-9000
Fax: (212) 596-9090